# Code of Conduct of the College of Psychologists of New Brunswick (CPNB) May 2024

#### **FOREWORD**

A code of conduct differs in function in critical ways from a professional association ethics code, with which they are sometimes confused. The professional association ethics code such as the Canadian Psychological Association (CPA) Canadian Code of Ethics for Psychologists is the profession's standards and guidelines to its own professionals about how to handle the professional-client relationship. Its purpose is to protect the welfare of the public and to educate and promote the integrity of the profession. Professional association codes may incorporate the basic concepts or structure of regulatory rules of conduct. Rules of conduct in a professional association ethics code may be less specific, however, than is desirable for a regulatory code. They also often address aspirational or advisory issues as well as enforceable issues, and professional matters as well as regulatory matters.

Regulatory rules of conduct protect the public welfare by assuring that the client of a licensed psychologist can have a reasonable, legally protected, understanding of the rules that will govern the professional's behavior in the professional relationship. Effective rules of conduct would have several characteristics of note:

- They pertain to the process or "mechanics" of the professional relationship, not to the
  content of the professional judgment itself. They set the boundaries within which the
  professional relationship functions and are not intended to determine or dictate
  professional judgment as such.
- 2. They primarily protect the public interest. They secondarily protect the interests of the profession only as they assure public confidence and trust in the integrity of the professional relationship.
- They are as non-intrusive as possible, interfering as little as possible with professional work while still accomplishing their necessary function of protecting the public from exploitation and harm.
- 4. They are essentially unambiguous concerning what behavior is acceptable and what is not.
- 5. Among other functions, they assure the creation/existence/retention of appropriate information with which CPNB can judge compliance with or deviation from its requirements.

- 6. They are intended to be sufficient unto themselves, without dependence for interpretation on additional explanatory materials.
- 7. They are non-optional and always pertain. They are nontrivial, to the extent that any violation is basis for formal disciplinary action, including loss of a member's license.

These concepts should be kept in mind while applying the rules contained in the present Code of Conduct.

#### Section I. Introduction

- I. 1. **Purpose.** The rules within this Code of Conduct constitute the standards against which the required professional conduct of a psychologist is measured.
- I. 2. **Scope.** The psychologist shall be governed by this Code of Conduct whenever providing psychological services in any context. This Code shall not supersede federal or provincial legislation. This Code shall apply to the conduct of all members of CPNB.
- I. 3. **Responsibility for own actions.** The psychologist shall be responsible for his/her own professional decisions and professional actions. This includes conduct unbecoming in the public or private domain.
- I. 4. **Violations.** A violation of this Code of Conduct constitutes unprofessional conduct and is sufficient reason for corrective or any other disciplinary action such as denial of either original membership status or reinstatement of membership status with terms, conditions or limitations as determined by CPNB.
- I. 5. **Aids to interpretation.** Ethics codes and practice guidelines for providers of psychological services promulgated by the Canadian Psychological Association and other relevant professional groups shall be used as an aid in resolving ambiguities which may arise in the interpretation of this Code of Conduct. This Code of Conduct shall prevail whenever any conflict exists between this Code and any professional association standard.

#### Section II Definitions

II. 1. **Client.** "Client" means one who receives the professional services or advice of a psychologist. Clients typically include individuals, couples, families, groups or organizations. A colleague requesting advice from a psychologist is not necessarily considered a client. Psychologists may be asked for their professional opinion about someone who has not explicitly requested to be a client and has not given informed consent (such as in court-appointed assessments, or within a

correctional facility, etc.). In such situations, the person is considered a client and the psychologist must reasonably comply with the provisions of the Code, including but not limited to III.3.6. In the case of individuals with legal guardians, including minors and legally incompetent adults, the legal guardian shall be the client for decision-making purposes, except that the individual receiving services shall be the client for:

- a) Issues directly affecting the physical or emotional safety of the individual, such as sexual or other exploitive multiple relationships; and
- b) Issues specifically reserved to the individual and agreed to by the guardian prior to rendering of services, such as confidential communication in a therapy relationship.
- II. 2. **Confidential information.** "Confidential information" means information revealed by a client or clients or otherwise obtained by a psychologist, where there is reasonable expectation that because of the relationship between the client(s) and the psychologist, or the circumstances under which the information was revealed or obtained, the information shall not be disclosed by the psychologist without the informed written consent of the client(s).
- II. 3. **Court order.** "Court order" means the written or oral directive to a psychologist from a member of the judiciary of the Provincial Court, Court of Queen's Bench or Court of Appeal of New Brunswick. This also applies to requests from the CPNB Hearing Committee, its legal representative, and investigator, if applicable.
- II. 4. **Licensed.** "Licensed" means licensed, certified, registered, or any other term when such term identifies a person whose professional behavior is subject to the CPNB Act, By-Laws and Rules.
- II. 5. **Professional relationship.** "Professional relationship" means a mutually agreed upon relationship between a psychologist and a client for the purpose of the client obtaining the psychologist's professional expertise.
- II. 6. **Professional service.** "Professional service" means all actions of the psychologist in the context of a professional relationship with a client.
- II. 7. **Supervisee.** "Supervisee" means any person who provides or is in training to provide psychological services under the extended authority of the psychologist.

#### Section III Rules of Conduct

#### III.1. Informed Consent

III.1.1. In general. Unless there is an emergency situation in which it would be contraindicated to do so, obtain free and informed consent from the client to receive psychological services, before the start of the service (and as soon as possible in the event of an emergency). In general, this would include:

- fees, if any;
- the nature of the services;
- mutual responsibilities;
- the participation, or not, of a team or of other collaborators;
- the limits to confidentiality,
- the likely risks and benefits of the services,
- the option to refuse or withdraw consent for the services at any time without incurring prejudice; and
- the procedure for withdrawing consent, if desired.

Whenever possible, consent is obtained in writing. If consent in writing cannot be obtained, the psychologist shall obtain verbal consent and shall document such consent.

III. 1.2. Consent for minors. In the case of informed consent from a minor, the age identified in the New Brunswick Medical Consent of Minors Act shall be deemed applicable to psychologists. When consent for psychological services is obtained from a minor, the psychologist shall also obtain consent from the minor before sharing confidential information, including their file, to their parent(s). If the minor is unable to provide consent himself/herself/themselves, the psychologist must obtain consent from the minor's parent(s) and/or guardian(s). For a minor in joint custody, informed consent should be obtained, when possible, by both parents and/or guardians, unless otherwise specified in a legal custody agreement. In the rare and exceptional situations where documented reasonable efforts to reach both parents were unsuccessful (i.e., the contact information of one parent is unknown or one parent is refusing to be involved in the child's life), the psychologist should obtain written confirmation from the presenting parent stating that they have been the parent

making health-related decisions regarding their child, and this should be noted in the file. For a minor whose parents have separated or divorced, or are in the process of doing so, the psychologist should determine whether a written parenting agreement or court order is in place which alters the parent's decision-making authority and should document the steps taken to do so in the file.

III. 1.3. When a client lacks capacity to consent. You must have reasonable grounds for deciding that your client lacks capacity to make decisions about their care. Capacity is best defined as the ability to understand the difference between accepting or rejecting a psychological service (i.e., an assessment, treatment, or other psychological intervention). When your client lacks capacity to make their own decisions, you should contact someone who has the legal right to make decisions on the client's behalf (for example, a legal guardian or someone who holds a current enduring power of attorney for personal care and welfare) for their input on the client's care.

If your client lacks capacity to make their own decisions and there is no one to make decisions on their behalf or when the people making decisions on their behalf disagree (e.g., when custodial parents, whether living together or separated, do not agree), you may go ahead with a psychological service when:

- a) that psychological service is in the client's best interest; and
- b) reasonable steps have been taken to find out what matters to the client; and
- c) you believe that the psychological service is what the client would have wanted if they were able to decide for themselves; or
- d) you have considered, when possible, the input of others who have an interest in your client's welfare if you have not been able to find out what your client's views are.

Before going ahead with a psychological service, you may want to discuss your decision with an appropriate colleague. You should document in your client's records, the reasons for going ahead with the psychological service, and the input you received from those you contacted about your client.

# III. 2. Protecting confidentiality of clients

III. 2. 1. In general. The psychologist shall safeguard confidential information obtained in the course of practice, teaching, research, or other professional services. With the exceptions set forth below or in accordance with any federal or provincial legislation, the psychologist shall disclose confidential information to others only with the informed written consent of the client or documented oral consent if written consent is not possible.

- III. 2. 2. **Disclosure without informed written consent.** The psychologist may disclose confidential information without the informed written consent of the client when the psychologist judges that disclosure is necessary to protect against a clear and substantial risk of imminent serious harm being inflicted by the client on the client or another person, or otherwise required by law. In such case, the psychologist shall limit disclosure of the otherwise confidential information to only those persons and only that content which would be consistent with the standards of the profession in addressing such problems.
- III. 2. 3. Services involving more than one interested party. In a situation in which more than one party has an appropriate interest in the professional services rendered by the psychologist to a client, the psychologist shall, to the extent possible, clarify to all parties prior to rendering the services the dimensions of confidentiality and professional responsibility that shall pertain in the rendering of services. The provision of psychological services on behalf of an organizational client does not diminish the obligations and professional responsibilities (for example, complying with a PHIPAA request) to individual clients.
- III. 2. 4. **Multiple clients.** When service is rendered to more than one client during a joint session, for example to a family or a couple or a parent and child or a group, the psychologist shall at the beginning of the professional relationship clarify to all parties the manner in which confidentiality will be handled. All parties shall be given the opportunity to discuss and to accept whatever limitations to confidentiality adhere in the situation.
- III. 2. 5. **Legally dependent clients.** At the beginning of a professional relationship, to the extent that the client can understand, the psychologist shall inform a client who is below the age of majority or who has a legal guardian, of the limit of confidentiality with respect to their communications with the psychologist.
- III. 2. 6. **Limited access to client records.** The psychologist shall limit access to client records to preserve their confidentiality and shall assure that all persons working under the psychologist's authority comply with the requirements for confidentiality of client material.
- III. 2. 7. **Release of confidential information.** The psychologist may release confidential information upon court order, as defined in Section II of this Code, or to conform to appropriate federal or provincial law or regulation.

- III. 2. 8. Reporting of abuse of children and vulnerable adults. The psychologist shall be familiar with any relevant provincial laws concerning the reporting of suspected negligence, mistreatment and abuse of children, youth and vulnerable adults and shall comply with such laws.
- III. 2. 9. **Discussion of client information among professionals.** When rendering professional services as part of a team or when interacting with other appropriate professionals concerning the welfare of the client, the psychologist may share confidential information about the client provided the psychologist takes reasonable steps to assure that all persons receiving the information are informed about the confidential nature of the information and abide by the rules of confidentiality. During such consultation, every effort will be made to avoid the sharing of information that could enable the identification of the client; the sharing of information that would enable the identification requires informed consent from the client. When possible, this consent should be in writing.
- III. 2. 10. **Disguising confidential information.** When case reports or other confidential information is used as the basis of teaching, research, or other published reports, the psychologist shall exercise reasonable care to ensure that the reported material is appropriately disguised to prevent client identification.
- III. 2. 11. **Observation and electronic recording.** The psychologist shall ensure that observation or electronic recording of a client occur only with the informed written consent of the client.
- III. 2. 12. **Confidentiality after termination of professional relationship.** The psychologist shall continue to treat information regarding a client as confidential after the professional relationship between the psychologist and the client has ceased.

#### III. 3. Competence

III. 3. 1. **Limits on practice.** The psychologist shall limit practice and supervision to the areas of competence in empirically-based psychological practices and professional knowledge in which proficiency has been gained through education, training and supervision.

- III. 3. 2. **Maintaining competency.** The psychologist shall maintain current competency in the areas in which he/she/they practices, through continuing education (as outlined in the CPNB Rule No. 2 Continuing Education), consultation, and/or other procedures, in accordance with current standards of practice.
- III. 3. 3. Accurate representation. When and where appropriate, the psychologist shall accurately represent their areas of competence, education, supervision, experience and professional affiliations to CPNB, the public, and colleagues.
- III. 3. 4. Adding new services and techniques. The psychologist, when developing competency in a service or technique that is either new to the psychologist or new to the profession, shall engage in ongoing consultation with other psychologists or relevant professionals and shall seek appropriate education and training in the new area. The psychologist shall inform clients of the innovative nature and the known risks associated with the services, so that the client can exercise freedom of choice concerning such services.
- III. 3. 5. **Referral.** Following the client's informed consent, the psychologist shall make or recommend a referral to other professional, technical or administrative resources when such a referral is clearly in the best interests of the client.
- III. 3. 6. **Sufficient professional information.** The psychologist rendering a formal professional opinion about a person shall not do so without substantial knowledge or a formal assessment of that person. Psychological consultants hired by third party payers shall not render a professional opinion about a client or treatment without discussion with the treating clinician and/or contact with the client, unless the client had given informed consent to that effect, with the third-party organization or with the treating clinician, at the outset of services.

#### III. 3. 7. Content and maintenance of records.

- 1) The psychologist rendering professional services to a client, including services billed to a third-party payer, shall maintain professional records that include:
  - a) the name of the client and other identifying information;
  - b) the presenting problem(s), purpose or diagnosis;

- c) the fee arrangement;
- d) a summary description (with physical or electronic signature, as well as date) of each professional service rendered, outlining important clinical information relevant to the service (for example, type and objectives of the service, clinical observations, recommendations issued, assessment of client progress, suicide risk assessment, report of suspected child maltreatment);
- e) raw scores and interpreted results of psychometric tests;
- f) notation and results of formal consults with other providers;
- g) a copy of all reports or other written documentation prepared as part of the professional relationship;
- h) any clinical documentation pertaining to the client's consent as well as any other releases executed by the client;
   and
- i) a copy of relevant written communication concerning the professional relationship (for example, email exchanges, letters, sent or received faxes).
- 2) It is important to note that psychologists are "custodians" pursuant to the Personal Health Information Privacy and Access Act; and, as such, they must comply with this Act (including subsection 55(1)) and keep up to date on any amendments to it or to its Regulations. Given that there is no specific retention period applicable to the client records of psychologists and in the interest of balancing the need to retain client records for as long as they are required (e.g., for defending against claims for negligence or a complaint to CPNB) and balancing the need to ensure that personal health information is kept no longer than necessary, CPNB recommends that psychologists should, at minimum:
  - a) retain all current client records for the purposes of treating the client for as long as the person is a client;
  - b) once a person is no longer a client, the client record should be closed and stored safely for a minimum period of:

- (i) fifteen years following the last date that service was rendered;
- (ii) if the client was less than the age of majority at the time of their last date of service rendered, fifteen years from the day the client became or would have become the age of majority; or
- (iii) for a longer period if required by law.
- c) maintain a bring-forward system to maintain a record of when patient records may be permanently destroyed;
- d) at the end of the fifteen years, psychologists should review the client record to determine if the record should be maintained considering, but not limited to:
  - (i) does the record contain information that may be relevant to a sexual abuse allegation?
  - (ii) does the record contain information that may serve to document allegations of abuse, negligence or mistreatment of a child, youth or vulnerable adult?
- e) if the psychologist determines that it is appropriate after fifteen years to permanently destroy the patient record, the psychologist must create a record containing the name of the individual whose personal health information is destroyed, a summary of the contents of the record, the time period to which the information relates, the method of destruction and the name of the person responsible for supervising the secure destruction.
- 3) The psychologist shall store and dispose of written, electronic and other records in such a manner as to insure their confidentiality. The psychologist shall maintain the confidentiality of all psychological records in the psychologist's possession or under the psychologist's control except as otherwise provided by law or pursuant to written or signed authorization of a client specifically requesting or authorizing release or disclosure of the client's psychological records.

4) For each person professionally supervised, the psychologist shall maintain for a period of not less than five years after the last date of supervision a record that shall include, among other information, the type, place, and general content of the supervision.

## III. 4. Protection of test security

III.4.1. The psychologist shall protect the security of tests. To this end, members must distinguish between test data and test materials. According to the Personal Health Information Privacy and Access Act (PHIPA), client health information, which includes raw data from standardized psychological tests, must be released to clients and others with proper authorization upon request (refer to PHIPA for requirements and exceptions). When possible, raw data should be presented in summary form, rather than presented on testing material or protocols, and efforts should be made to transfer the requested information to a qualified professional.

III.4.2 Testing material is protected by copyright laws. Because federal or provincial legislation may require psychologists to release the content of files to clients or others with proper authorization, testing material (such as test questions, manuals, and protocols) should be excluded as much as possible from the client files. Testing materials contained within the client file should be released to clients with proper authorization when ordered by a court or as may be required under application federal or provincial legislation.

#### III. 5. Continuity of care

- III. 5. 1. The psychologist shall make arrangements for another appropriate professional or professionals to deal with emergency needs of their clients, as appropriate, during periods of their foreseeable absences from professional availability.
- III. 5. 2. The psychologist who plans to or ceases to provide psychological services shall:
  - a) take ongoing responsibility for the maintenance and security of client records or make arrangements, preferably with another member, for the security and maintenance of client records;

- b) ensure that former clients have access to the client record for the prescribed retention period; and
- c) inform CPNB of these arrangements prior to ceasing to provide psychological services or at the earliest reasonable opportunity.

## III. 6. Providing supervision

The psychologist shall exercise appropriate supervision over supervisees, as set forth in the CPNB Rules under Licensing Requirements Rule No. 1.04 Supervised Experience Requirements. Members must assume responsibility and accountability for, and review, the actions and services of all supervised providers of psychological services who are not authorized by CPNB to provide those services autonomously. Within the context of supervision, clients are considered to be clients of the supervisor. If a supervisory relationship ends because the supervisee becomes authorized to provide the services independently (for example, a resident succeeds in becoming a licensed psychologist), the preference and wishes of the clients should be given primary consideration when determining continuity of care.

# III. 7. Delegating professional responsibility

The psychologist shall not delegate professional responsibilities to a person not appropriately credentialed or otherwise appropriately qualified to provide such services. In determining whether a person is appropriately credentialed or otherwise qualified, factors to consider include but are not limited to supervision, education, experience, formal and informal training. Upon delegating, the professional responsibility of the service delivery ultimately belongs to the psychologist.

#### III. 8. Multiple Relationships

III. 8. 1. Definition of multiple relationships. The psychologist recognizes that multiple relationships may occur because of the psychologist's present or previous familial, social, emotional, financial, supervisory, political, administrative or legal relationship with the client or a relevant person associated with or related to the client. The psychologist takes reasonable steps to ensure that if such a multiple relationship occurs, it is not exploitative of the client or a relevant person associated with or related to the client.

## III. 8. 2 Prohibited multiple relationships.

- a) A multiple relationship that is exploitative of the client or a relevant person associated with or related to the client is prohibited. The psychologist takes all reasonable steps to ensure that any multiple relationships do not impair the psychologist's professional judgment or objectivity or result in a conflict of interest with the client or a relevant person associated with or related to the client.
- b) Multiple relationships that would not reasonably be expected to impair the psychologist's judgment or objectivity or risk harm to the client or relevant person associated with or related to the client are not expressly prohibited
- c) Members should not accept a gift of more than token value from a client. In accepting even a small gift, members must carefully consider the potential clinical implications of this.

## III. 9. Romantic or sexual relationships

- III. 9. 1. The psychologist does not engage in romantic or sexual relationships with current clients.
- III. 9. 2. The psychologist does not engage in romantic or sexual relationships with individuals they know to be close relatives, guardians, or significant others of current clients.
- III. 9. 3. The psychologist does not terminate the professional relationship to circumvent this standard.
- III. 9. 4. The psychologist does not accept as therapy clients persons with whom they have engaged in a romantic or sexual relationship.
- III. 9. 5. The psychologist does not engage in a romantic or sexual relationship with former clients to whom the psychologist has at any time within the previous 24 months provided a psychological service including but not limited to performing an assessment or rendering counseling, psychotherapeutic, or other professional psychological services for the evaluation, treatment or amelioration of emotional distress or behavioral inadequacy.

III. 9. 6. The prohibitions set out in subparagraph 5 shall not be limited to the 24-month period but shall extend indefinitely if the client is proven to be clearly vulnerable, by any reason, to exploitative influence by the psychologist.

## III. 10. Impairment

Impaired psychologist. The psychologist shall not undertake or continue a professional relationship with a client when the psychologist is, or could reasonably be expected by CPNB to be impaired due to mental, emotional, physiologic, pharmacologic or substance abuse conditions. If such a condition develops after a professional relationship has been initiated, the psychologist or other designated professional shall terminate the relationship in an appropriate manner, shall notify the client in writing of the termination, and shall assist the client in obtaining services from another professional.

#### III. 11. Client Welfare

- III. 11. 1. **Selecting appropriate intervention.** The psychologist shall choose the appropriate intervention for the client based on the client's history, cognitive abilities, vulnerabilities, presenting problem and cultural background, in order to minimize risk and maximize benefits.
- III. 11. 2. **Termination of services.** Whenever professional services are terminated, if feasible, the psychologist shall offer to help locate alternative sources of professional services or assistance when indicated. The psychologist shall terminate a professional relationship when it is reasonably clear that the client is not benefiting from the relationship, and, if feasible, shall prepare the client appropriately for such termination. The psychologist may terminate a professional relationship when threatened or otherwise endangered by the client or another relevant person associated with or related to the client.
- III. 11. 3. **Stereotyping/prejudicial/discriminatory.** The psychologist shall not impose on the client any stereotypes, prejudicial or discriminatory behaviors, values or roles related to age, gender, religion, race, disability, nationality, sexual orientation or diagnosis which would interfere with the objective provision of psychological services to the client. Psychologists shall hold themselves to a standard where they exude cultural safety and cultural sensitivity in all the work that they do.

- III. 11. 4. **Solicitation of business by clients.** The psychologist providing services to an individual client shall not induce that client to solicit business on behalf of the psychologist.
- III. 11. 5. **Referrals on request.** The psychologist providing services to a client shall, if feasible, make an appropriate referral of the client to another professional when requested to do so by the client.
- III. 11. 6. **Harassment.** The psychologist does not engage in any verbal or physical behavior with clients which is seductive, demeaning or harassing.
- III. 11. 7. **Truth and reconciliation.** The psychologist shall take reasonable steps to familiarize themselves with the current and historical injustices suffered by indigenous peoples on behalf of Canada and the field of psychology prior to providing services to ensure all professional activities are performed in a culturally competent manner. This includes recognizing and respecting indigenous ways of knowing and traditional approaches to healing and wellness.

## III. 12. Welfare of supervisees, research participants and students

- III. 12. 1. **Welfare of supervisees.** The psychologist shall not engage in any verbal or physical behavior with their supervisees which is seductive, demeaning or harassing or exploiting of a supervisee in any way, be it sexually, financially or otherwise.
- III. 12. 2. **Welfare of research participants.** The psychologist shall respect the dignity and protect the welfare of their research participants and shall comply with all relevant legislation and administrative rules concerning treatment of research participants.
- III. 12. 3. **Welfare of students.** The psychologist shall not engage in any verbal or physical behavior with their students which is seductive, demeaning or harassing or exploiting of a student in any way, be it sexually, financially or otherwise.

#### III. 13. Representation of services

III. 13. 1. **Display of license.** The psychologist shall display their current CPNB license to practice psychology on the premises of their professional office or have in their possession their valid CPNB membership card.

- III. 13. 2. **Misrepresentation of qualifications**. The psychologist shall not misrepresent directly or by implication their professional qualifications such as education, experience or areas of competence.
- III. 13. 3. **Misrepresentation of affiliations.** The psychologist shall not misrepresent directly or by implication their affiliations, or the purposes or characteristics of institutions and organizations with which the psychologist is associated.
- III. 13. 4. **False or misleading information.** The psychologist shall not include false or misleading information in public statements concerning professional services offered.
- III. 13. 5. **Misrepresentation of services or products.** The psychologist shall not associate with or permit their name to be used in connection with any services or products in such a way as to misrepresent (a) the services or products, (b) the degree of their responsibility for the services or products, or (c) the nature of their association with the services or products.
- III. 13. 6. Correction of misrepresentation by others. In as much as possible, the psychologist shall correct others who misrepresent the psychologist's professional qualifications or affiliations.
- III. 13. 7 Provision of information to the public. Members who provide information, advice or comment to the public via any medium must take precautions to ensure that: (a) the statements are accurate and supportable based on current professional literature or research; (b) the statements are consistent with the professional standards, policies and ethics currently adopted by the College; and (c) it would reasonably be expected that an individual member of the public receiving the information would understand that these statements are for information only, that a professional relationship has not been established, and that there is no intent to provide professional services to the individual.

## III. 14. Fees and Statements

III. 14. 1. **Disclosure of cost of services.** The psychologist shall not mislead or withhold from a client, prospective client, or third-party payer, information about the cost of their professional services.

- III. 14. 2. **Reasonableness of fee.** The psychologist shall not exploit the client or responsible payer by charging a fee that is excessive for the services performed or by entering into an exploitive bartering arrangement in lieu of a fee.
- III. 14. 3. **Fee collection.** Prior to using a collection agency or legal option to collect fees, the psychologist informs the client of this intent and provides an opportunity for payment to be made. If a collection agency or legal option is used, the psychologist shall provide a minimum of information necessary for the collection of fees (such as amount, name and address only).

#### III. 15. Assessment Procedures

- III. 15. 1. **Confidential information.** The psychologist shall treat an assessment result or interpretation regarding an individual as confidential information.
- III. 15. 2. **Communication of results.** The psychologist shall accompany communication of assessment procedure and results to the client, parents, legal guardians or other agents of the client by adequate interpretative aids or explanations.
- III. 15. 3. **Reservations concerning results.** The psychologist shall include in their report of the results of a formal assessment procedure any relevant reservations or qualifications which would reasonably affect the validity, reliability or other interpretation of results.
- III. 15. 4. **Protection of integrity of assessment procedures.** The psychologist shall not reproduce or describe in popular publications, lectures or public presentations psychological tests or other assessment devices in ways that might invalidate them.
- III. 15. 5. **Information for professional users.** The psychologist offering an assessment procedure or automated interpretation service to other professionals shall accompany this offering by a manual or other printed material which fully describes the development of the assessment procedure or service, the rationale, evidence of validity and reliability, and characteristics of the normative population. The psychologist shall explicitly state the purpose and application for which the procedure is recommended and identify special qualifications required to administer and interpret it properly. The psychologist shall ensure that the advertisements for the assessment procedure or interpretive service are factual and descriptive.

## III. 16. Violations of Law

- III. 16. 1. Violation of applicable legislation, laws, bylaws or regulations. The psychologist shall not violate any applicable legislation, laws or bylaws regulating the practice of psychology.
- III. 16. 2. **Use of fraud, misrepresentation, or deception.** The psychologist shall not use fraud, misrepresentation, or deception in obtaining a psychology license, in passing a psychology licensing examination, in assisting another person to obtain a psychology license or to pass a psychology licensing examination, in billing clients or third-party payers, in providing psychological service, in reporting the results of psychological evaluations or services, or in conducting any other activity related to the practice of psychology.

# III. 17. Aiding Unauthorized Practice

The psychologist shall not aid or abet another person in misrepresenting their professional credentials or in illegally engaging in the practice of psychology.

# **III. 18. Reporting Suspected Violations**

- III. 18. 1. **Reporting of violations to CPNB.** The psychologist who has substantial reason to believe that there has been a violation of the Act, Bylaws, Rules or Code of Conduct of CPNB that might be reasonably expected to harm a client, may report such violation to CPNB or if required by legislation shall report to CPNB. Unless required by legislation, the client's name may be provided only with the written consent of the client.
- III. 18. 2. **Providing information to a client.** When a psychologist learns from a client of a possible violation of the Act, By-Laws, Rules or Code of Conduct of CPNB, or when a psychologist receives a request from a client for information on how to file a complaint with CPNB, the psychologist has an obligation to direct the client to CPNB so as to be informed of the proper procedures to file a complaint with CPNB.

# III. 19. Public notice

The psychologist shall have a copy of this Code of Conduct on their premises or in their possession for the client to review when deemed necessary.